

香港灣仔軒尼詩道 288 號英皇集團中心 8 樓 801 室 香港電話號碼 Hong Kong Contact No.: (852) 2574 2229

Room 801, 8/F, Emperor Group Centre, 288 Hennessy Road, Wanchai, Hong Kong

香港傳真號碼 Hong Kong Fax No.: (852) 2574 1630 中國免費傳真號碼 China Toll Free Fax No.: 4001 209988

網址 Website: www.MW801.com

中國免費長途電話號碼 China Toll Free Contact No.: 4001 208811 電郵 Email: <u>efgefg@msn.com / mw@emperorgroup.com</u>

\* 郵寄文件信封上請註明「英皇金業有限公司」。

\* For mailing document, please state the name of "Emperor Bullion Limited" on the envelope.

## 客戶資料聲明 CLIENT INFORMATION STATEMENT

個人 / 耶	烯名帳戶 INDIVII	DUAL / PRIMAR	RY JOINT AC	COUNT	附錄一 APPENDIX 1			
第一部分 PART I	交易類別 TRADING METHOD							
□ 電話交易	Phone Trading	或 or [	□ 網上交易 Online	Trading				
第二部分 PART II	帳戶類別 TYPE OF ACCOUNT							
1. □ 個力	【帳戶 Individual Account	或 or [	□ 聯名帳戶 Joint A	ccount				
2.	元結算帳戶 Settled by USD	或 or [	□ 港元結算帳戶 Se	ettled by HKD				
第三部分 PART III		<b>人帳戶 / 聯名帳戶第一持 FORMATION – INDIVII</b>		JOINT ACCOUNT HOLDER				
□ 先生 M □ 小姐 M	fr.	中文姓名 Chinese Name		英文姓名 姓氏 Surnam English Name	e 名字 Given Name			
	護照號碼(請遞交副本) No. / Passport No. ( Please atta	ach a copy)		出生日期(日/月/年) Date of Birth(DD/MM/YYYY)				
護照屆滿日期	(日/月/年)			護照簽發國家				
	y Date (DD/MM/YYYY)			Issue Place				
國籍 Nationality				出生地點 Place of Birth				
	美國公民或美國合法永久居 ent has a U.S. citizenship or l		<del></del>	提供 IRS W-9 表格及美國聯邦納稅力 ease provide IRS Form W-9 and US fed	<del>-</del>			
職業 / 職位	·			僱主名稱				
Occupation / Ti	itle			Name of Employer				
業務性質 Nature of Busin	ness			受上述僱主僱用的年數 No. of years employed by the above e	employer			
任職行業 / 機 Occupation / O		或公營機構 Government or 金融 Banking or Financial S		<ul><li>□ 私人企業 Private Sector</li><li>□ 年長或退休人士 Elderly or Ret</li></ul>	□ 紀律部隊 Disciplinary Force ired □ 其他 Others			
現職年數 Employed Year	□ 少於- · Less t	一年 han 1 Year	<ul><li>□ 一至五年</li><li>1 – 5 Years</li></ul>	□ 五年以上 More than 5 Years	;			
住址 Residential Add	dress							
辦公地址								
Business Addre	ess							
通訊地址 Correspondenc	e Address							
住所電話號碼 Home Telephon	ne No.		手提電話號碼 Mobile No.		辦公室電話號碼 Office Telephone No.			
圖文傳真號碼 Fax No.				電郵地址 Email Address				
投資策略及目 Investment Obj	標 ective and Strategy	□ 低風險 Low Ri □ 投機及高回報 High R		□ 對沖資產 Hedge Assets □ 其他 Others	☐ 資產增值 Asset Appreciation			
年度收入(港		□ 少於 Less than \$200,000□ \$200,000 - \$499,999□ \$500,000 - \$1,000,000□ 多於 Above \$1,000,000	00	流動資產淨值(港元) Liquid Net Worth(HK Dollars)	□ 少於 Less than \$300,000 □ \$300,000 - \$1,000,000 □ 多於 Above \$1,000,000			
	收入低於\$200,000,請閱讀 all income is less than \$200,0 ement.		_		00,請閱讀客戶協議的「風險披露聲明」。 is than \$300,000, please review "Risk Disclosure			
投資知識 Investment Kno		□ 豐富 Excellent	□ 良好 Good	□ 一般 Fair				

閣下是否有其他投資經驗? Do Client have other investment experience?						
□ 否 NO						
□ 是 YES: 外匯 Forex 基金 Funds	年 Yrs 期權 Opt 年 Yrs 債券 Bor			年 Yrs 年 Yrs		年 Yrs 年 Yrs
閣下是如何認識英皇? How did Client hear of Emperor?						
□ 電視 TV □ 報章雜誌廣告 Print	Advertisement #	耶遞直銷 Direct Mail	□ 網上 Online	□ 電台 Radio	□ 電郵 Email	☐ 講座 Seminar
□ 地鐵廣告 MTR □ 室外廣告版 Outdoo	or Billboard □ 報	B刊報導 Press Coverage	e 🔲 他人介紹 Referral	☐ 其他 Others		
第三部分 PART III (B) 個人資料 - 聯名帳 (B) PERSONAL INFOR		DARY JOINT ACCO	OUNT HOLDER			
	中文姓名 Chinese Name		英文姓名 姓氏 English Name	Surname	名字 Given Nai	me
身份證號碼 / 護照號碼 (請遞交副本 ) Identity Card No. / Passport No. ( Please attach a	а сору)		出生日期(日/月/年) Date of Birth(DD/MM/YY	YY)		
護照屆滿日期(日/月/年)			護照簽發國家			
Passport Expiry Date (DD/MM/YYYY)			Issue Place			
國籍 Nationality			出生地點 Place of Birth			
客戶是否擁有美國公民或美國合法永久居民身	身份?	□ 是(請	提供 IRS W-9 表格及美國聯	邦納稅人識別碼)	ı	□ 否
Whether the client has a U.S. citizenship or lawfu	ul permanent resident sta	tus? Yes (Pl	ease provide IRS Form W-9 and	d US federal TIN)		No
職業/職位 Occupation/Title			僱主名稱 Name of Employer			
業務性質 Nature of Business			受上述僱主僱用的年數 No. of years employed by the	e above employer		
	營機構 Government or Banking or Financial S		□ 私人企業 Private Secto □ 年長或退休人士 Elder		□ 紀律部隊 Dis	
現職年數		□ 一至五年 1 – 5 Years	□ 五年以上 More that			
住址 Residential Address						
辦公地址						
Business Address						
通訊地址 Correspondence Address						
住所電話號碼		手提電話號碼		辦公室	電話號碼	
Home Telephone No.		Mobile No.			Telephone No.	
圖文傳真號碼 Fax No.			電郵地址 Email Address			
_	低風險 Low Ri 投機及高回報 High R		□ 對沖資產 Hedge Assets □ 其他 Others		□ 資產增值 Asse	et Appreciation
	少於 Less than \$200,00	00	流動資產淨值(港元)		□ 少於 Less than	
	\$200,000 - \$499,999 \$500,000 - \$1,000,000		Liquid Net Worth (HK Dolla	ars)	□ \$300,000 - \$1,0 □ 多於 Above \$1	
	多於 Above \$1,000,000	0				
如果您的年度收入低於\$200,000,請閱讀客戶 If Client's annual income is less than \$200,000, p the Client Agreement.			如果您的流動資產淨值低於 If Client's Liquid Net Wor Statement" in the Client Agre	th is less than \$3		_
投資知識	豊富	□良好	□ 一般			
Investment Knowledge	Excellent	Good	Fair			
閣下是否有其他投資經驗? Do Client have other investment experience? □ 否 NO						
□ 是 YES: 外匯 Forex 基金 Funds	年 Yrs 期權 Opt 年 Yrs 債券 Bo			年 Yrs 年 Yrs	期貨 Futures 其他 Others	年 Yrs 年 Yrs
图下是如何認識英皇?	一 113		парт сониностись	118	大區 Omera	+ 115
How did Client hear of Emperor?						
□ 電視 TV □ 報章雜誌廣告 Print	_	耶遞直銷 Direct Mail	□ 網上 Online	□ 電台 Radio	□ 電郵 Email	☐ 講座 Seminar
□ 地鐵廣告 MTR □ 室外廣告版 Outdoo	or Billboard	因刊報導 Press Coverage	e 🗌 他人介紹 Referral	☐ 其他 Others		

第三部分 (C) 個人資料 - 聯名帳戶第三持有人 PART III (C) PERSONAL INFORMATION - TERTIARY JOINT ACCOU	INT HOLDER
□ 先生 Mr. □ 太太 Mrs. 中文姓名 □ 小姐 Miss □ 女士 Ms. Chinese Name	英文姓名   姓氏 Surname   名字 Given Name English Name
身份證號碼 / 護照號碼 (請遞交副本 ) Identity Card No. / Passport No. (Please attach a copy )	出生日期(日/月/年) Date of Birth(DD/MM/YYYY)
護照屆滿日期(日/月/年) Passport Expiry Date(DD/MM/YYYY)	護照簽發國家 Issue Place
國籍 Nationality	出生地點 Place of Birth
	提供 IRS W-9 表格及美國聯邦納稅人識別碼) □ 否 ease provide IRS Form W-9 and US federal TIN) No
職業/職位 Occupation/Title	僱主名稱 Name of Employer
業務性質 Nature of Business	受上述僱主僱用的年數 No. of years employed by the above employer
任職行業 / 機構	□ 私人企業 Private Sector □ 紀律部隊 Disciplinary Force □ 年長或退休人士 Elderly or Retired □ 其他 Others □
現職年數	□ 五年以上 More than 5 Years
住址 Residential Address	
辦公地址 Business Address	
通訊地址 Correspondence Address	
住所電話號碼 手提電話號碼 Home Telephone No.	辦公室電話號碼 Office Telephone No.
圖文傳真號碼 Fax No.	電郵地址 Email Address
投資策略及目標 □ 低風險 Low Risk Investment Objective and Strategy □ 投機及高回報 High Risk, High Return	□ 對沖資產 Hedge Assets □ 資產增值 Asset Appreciation □ 其他 Others
年度收入(港元)	流動資產淨值(港元)
如果您的年度收入低於\$200,000,請閱讀客戶協議的「風險披露聲明」。 If Client's annual income is less than \$200,000, please review "Risk Disclosure Statement" in the Client Agreement.	如果您的流動資產淨值低於\$300,000,請閱讀客戶協議的「風險披露聲明」。 If Client's Liquid Net Worth is less than \$300,000, please review "Risk Disclosure Statement" in the Client Agreement.
投資知識   豐富   良好     Investment Knowledge   Excellent   Good	□ 一般 Fair
閣下是否有其他投資經驗? Do Client have other investment experience? □ 否 NO	
□ 是 YES: 外匯 Forex 年 Yrs 期權 Options 年 Yrs 基金 Funds 年 Yrs 債券 Bonds 年 Yrs 有 Bonds	
閣下是如何認識英皇? How did Client hear of Emperor?	
□ 電視 TV □ 報章雑誌廣告 Print Advertisement □ 郵遞直銷 Direct Mail □ 地鐵廣告 MTR □ 室外廣告版 Outdoor Billboard □ 報刊報導 Press Coverage	□ 網上 Online □ 電台 Radio □ 電郵 Email □ 講座 Seminar e □ 他人介紹 Referral □ 其他 Others □
第四部分 銀行資料 BANK INFORMATION	
請提供閣下的銀行帳戶,以作日後提款之用 For fund withdrawals from Client's Emperor Account, please provide details of Client's bank acc	count
銀行名稱	帳戶號碼
Name of Bank 帳戶持有人	Account No.  國際匯款代碼  貨幣
Name of Account Holder 銀行地址	Swift Code Currency
Bank Address	

第五部分 PART V	其他資料 OTHER INFORMATION						
	由中介人介紹開戶? uced by Intermediate?						
□ 否 NO							
□ 是 YES:	請列明中介人號碼			_Please list the Intermediate	Code _		
	(只適用網上交易帳戶) ( For Online Trading Account Only )						
□ 是 YES □ 否 NO		訊語言: MS Language:		繁體中文 Traditional Chinese		簡體中文 Simplified Chinese	□ 英文 English
	由以下方法領取帳戶結單(只適用電話交易帳戶) ct the Account statement by ( For Phone Trading Account Only	) 經由 By E		取		□ 經由郵寄領取 □ By Mail	□ 自取 By Hand
第六部分 PART VI	最終帳戶受益人 ULTIMATE BENEFICIAL OWNER(S) OF THE ACC	OUNT					
	帳戶受益人 e ultimate beneficial owner(s) of the Account 明 No, please specify:						
最終帳戶指示例 Ultimate Origina	竣出者姓名 ator(s) of Transaction:			或護照號碼 rd / Passport No.:			
國籍 Nationality:			出生地 Place o				
	美國公民或美國合法永久居民身份? ent has a U.S. citizenship or lawful permanent resident status?			RS W-9 表格及美國聯邦級 ovide IRS Form W-9 and U		找別碼) ll TIN)	□ 香 No
地址 Address:							
第七部分 PART VII	匯率 NOTICE OF EXCHANGE RATE						
所有非結算貨幣的存款及提款,均會以當時市場牌價兌換成結算貨幣。英皇有權根據市場情況,在無須預先通知客戶的情況下,更改以上匯率。							
Prevailing market exchange rates will be used to calculate deposits and withdrawals in currencies other than the settlement currency. These are subject to changes in accordance with market conditions and without prior notice.							
	*						

# 縱橫匯海財經網站

(英皇金融證券集團金股匯期財經網站)

www.MW801.com

第八部分 客戸簽署及聲明 PART VIII CLIENT'S SIGNATURE AND DECLARATION

本人/吾等茲確認及同意如下:

I / We hereby acknowledge and agree that:

- (a) 本人/吾等同意受英皇客戶協議之條款及條件約束並明白及確認英皇可不時修訂該協議之條款及條件。本人/吾等確認, 英皇並不提供任何有關投資、稅務或法律的意見或建議;
  - I/We agree to be bound by the terms and conditions as currently set forth in the Emperor Client Agreement. Client understands, acknowledges and agrees that Emperor may amend or change the terms of this Agreement from time to time. I/we also acknowledge that Emperor does not provide any investment, tax or legal advice or recommendations;
- (b) 本人/吾等乃最終對所發出的指令承擔責任及保證本「客戶資料聲明」所提供的資料均為真實、完整和正確,及願意 承擔一切責任;
  - I am/We are the person(s) who is/are ultimately responsible for originating the instructions and will ensure the information in this "Client Information Statement" is true, complete and correct. And I am/we are willing to bear all the responsibility and liability;
- (c) 本人/吾等乃準備收取交易之商業/經濟利益及/或承擔商業/經濟風險的人及本人/吾等已考慮個人財政狀況及投資風險後才開始此帳戶;
  - I am/We are the person(s) who stand(s) to gain the commercial/economic benefit of the transactions and/or bear the commercial/economic risk and I am/We have considered and understand my/our financial circumstances and investment risk to open this Account;
- (d) 本人/吾等明白本人/吾等之投資策略及目標有可能與此帳戶風險不同,而本人/吾等仍同意在英皇開立帳戶及承擔有關風險;
  - I/We understand my/our investment strategy and target might vary with this Account's risk, but I/We still agree to open an Account with Emperor and liable for the relevant risks;
- (e) 本人/吾等已經清楚了解各類貴金屬買賣規則;
  - I / We have Declared and understood the Bullion trading rules;
- (f) 本人/吾等已經清楚了解已簽訂之佣金協議內的條款及規則(如適用);及
  - I / We have Declared and understood the terms and conditions set out in Commission Agreement are accepted (if applicable); and
- (g) 本人/吾等已經細閱及接受本協議的條款及細則及已就本協議提出問題及尋求獨立的意見(如本人/吾等有此意願)。 I/We read and acknowledge this Agreement's terms and conditions and have seek for enquiry and taken an independent advice of this Agreement (if I/we so wish).
  - 本人/吾等反對英皇將本人/吾等的個人資料使用及/或轉移作直接促銷之目的。(如有需要,請於方格內加上✓號)
    I/We DO NOT agree Emperor to use and/or transfer my/our personal data for direct marketing. (Please ✓the box if necessary)
  - \* 中文譯本僅供參考,文義如與英文版本有歧異,概以英文版本為準;如客戶未能如實提供所需資料,客戶需承擔一切責任。
    In the event of any inconsistency between English and Chinese version, the English version shall prevail; If Client can't provide the information in good faith, the Client has liable for all responsibility.

#### 客戶簽署 CLIENT'S SIGNATURE

個人或聯名帳戶第一持有人聯名帳戶第二持有人聯名帳戶第二持有人Individual or Primary Joint Account HolderSecondary Joint Account HolderTertiary Joint Account Holder



 名稱正楷
 名稱正楷

 Print Name:
 Print Name:

 日期(日/月/年)
 日期(日/月/年)

 Date (DD/MM/YYYY)
 Date (DD/MM/YYYY)

 Date (DD/MM/YYYY)
 Date (DD/MM/YYYY)

### 本公司專用欄

#### FOR OFFICIAL USE ONLY

分行職員 / 營業部主管簽署授權簽署及交易商之印鑑Branch Staff's / Marketing Head's SignatureAuthorised Signature and Trader's Business Chop

名稱正楷
Print Name: Emperor Bullion Limited 日期(日/月/年) 日期(日/月/年) Date(DD/MM/YYYY) Date(DD/MM/YYYY)



# 英皇金業有限公司 Emperor Bullion Limited

### 印鑑卡 SIGNATURE CARD

香港灣仔軒尼詩道 288 號英皇集團中心 8 樓 801 室 Room 801, 8/F, Emperor Group Centre, 288 Hennessy Road, Wanchai, Hong Kong

香港電話號碼 Hong Kong Contact No.: 中國免費長途電話號碼 China Toll Free Contact No.: (852) 2574 2229

香港傳真號碼 Hong Kong Fax No.:

(852) 2574 1630

電郵 Email: efgefg@msn.com / mw@emperorgroup.com

4001 208811

中國発費傳真號碼 China Toll Free Fax No.: 4001 209988 網址 Website: www.MW801.com

\* 郵寄文件信封上請註明「英皇金業有限公司」

個主交易	*	For mailing document, please state the name		-	•			
機戸特有人 ACCOUNT HOLDER  英文姓名 English Name 中文姓名 Chinese Name 客戸業署 Client's Signature    おまままで記す   おおままで   おおままで   本書の   本						* 請選擇適用一項 Please「✓」 the box as appropriate		
中文姓名 Chinese Name   中文姓名 Chinese Name   本語語	日期 Date			中介人號碼 Intermed	diate Code	帳戶號碼 Account No.		
身份激 護照/公司註用器號碼 ID / Passport / CI No.   通信地址 Correspondence Address   動格電話號碼 Contact Telephone No.   ・ 論選評項目一項	帳戶	持有人 ACCOUNT HOLDER						
Passport No.   * 講選擇選用一項 ** Please 「** Jule box as appropriate **	英文	姓名 English Name		中文姓名 Chinese Na	ame	客戶簽署 Client's Signature		
Passport No.   * 講選擇選用一項						請簽名:		
安文姓名 English Name   身份鬱護照號碼 ID / Passport No.   簽署 Signature   中文姓名 Chinese Name   身份鬱護照號碼 ID / Passport No.   簽署 Signature   中文姓名 Chinese Name   身份鬱護照號碼 ID / Passport No.   簽署 Signature   中文姓名 Chinese Name   身份鬱護照號碼 ID / Passport No.   簽署 Signature   中文姓名 Chinese Name   身份鬱護照號碼 ID / Passport No.   簽署 Signature   中文姓名 Chinese Name   身份鬱護照號碼 ID / Passport No.   簽署 Signature   中文姓名 Chinese Name   財務電話號碼 Contact Telephone No.	身份證/護照/公司註冊證號碼 ID / Passport / CI No.			通信地址 Correspon	dence Address	聯絡電話號碼 Contact Telephone No.		
### Pyth Account Open Date    Pyth Account Open Date			· L		OUNT AUTHORISED PER	_		
中文姓名 Chinese Name   身份敵護照號碼 ID / Passport No.   後署 Signature   中文姓名 Chinese Name   身份敵護照號碼 ID / Passport No.   後署 Signature   中文姓名 Chinese Name   財務電話號碼 Contact Telephone No.   横註 Remark   中文姓名 Chinese Name   財務電話號碼 Contact Telephone No.   横註 Remark   中文姓名 Chinese Name   特別 Account Open Date   横註 Remark   中文姓名 Chinese Name   横註 Remark   中文姓名 Chinese Name   特別 Account Open Date   横註 Remark   中文姓名 Chinese Name   特別 Account Open Date   横註 Remark   中文姓名 Chinese Name   特別 Account Open Date   横註 Remark   中文姓名 Chinese Name   特別 Account Open Date   横註 Remark   中文姓名 Chinese Name   特別 表示 (日本) 中文社 (日本) 中文		英文姓名 English Name		身份證/護照號碼 ID	/ Passport No.	簽署 Signature		
中文姓名 Chinese Name	1	中文姓名 Chinese Name		聯絡電話號碼 Conta	ct Telephone No.			
中文姓名 Chinese Name								
本人/吾等茲授權英皇金業有限公司(「英皇」)可根據上述之簽署式樣執行本人/吾等給予英皇之指示,包括下買賣指令、更改個人資料、轉帳、存款、提款或其他有關本人/吾等在英皇開立上述戶口之任何事項。本人/吾等已清楚知悉及閱讀並同意遵守及願意接受英皇「客戶協議」、「買賣規則」及不時修訂交易規則之約束,本人/吾等並同意該等指示如有上述之簽署即爲生效。  The signature(s) appeared above is/are my/our specimen signature(s) against which Emperor Bullion Limited("Emperor")authorised to give effect to my/our instructions in respect of trading, change of personal particulars, fund transfers, deposit, withdrawal or other business in connection with my/our instructions in respect of trading, change of personal particulars, fund transfers, deposit, withdrawal or other business in connection with my/our agree that I/we will observe and be willing to be bound by the Client Agreement and the Trading rules from time to time updated and that such instructions if bear any of the above signature(s) will be valid and effective to all intents and purposes.  本公司專用 FOR OFFICIAL USE ONLY  Branch:  Checked by:		英文姓名 English Name		身份證/護照號碼 ID	/ Passport No.	簽署 Signature		
本人/吾等給予英皇之指示,包括下買賣指令、更改個人資料、轉帳、存款、提款或其他有關本人/吾等在英皇開立上述戶口之任何事項。本人/吾等已清楚知悉及閱讀並同意遵守及願意接受英皇「客戶協議」、「買賣規則」及不時修訂交易規則之約束,本人/吾等並同意該等指示如有上述之簽署即爲生效。  which Emperor Bullion Limited ("Emperor") authorised to give effect to my/our instructions in respect of trading, change of personal particulars, fund transfers, deposit, withdrawal or other business in connection with my/our above account with Emperor. I/we hereby acknowledge, understand and further agree that I/we will observe and be willing to be bound by the Client Agreement and the Trading rules from time to time updated and that such instructions if bear any of the above signature(s) will be valid and effective to all intents and purposes.  本公司專用 FOR OFFICIAL USE ONLY  Branch:  Checked by:	2					簽署 Signature		
Branch: Checked by:		中文姓名 Chinese Name			ct Telephone No.	簽署 Signature		
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Page 7 G0113015V1 201312V1